PIMCO

Account Application

PIMCO Funds

CLASS A AND CLASS C SHARES

Contact Information:
Phone: 800.426.0107
Website: www.pimco.com

Direct Mail:
PIMCO Funds
P.O. Box 219294
Kansas City, MO 64121

Overnight Mail:
PIMCO Funds
801 Pennsylvania Avenue, Ste 219294
Kansas City, MO 64105-1307

A financial advisor can help evaluate your financial planning needs and help set your investment objectives. Please work with a financial advisor to open your account and provide their information under the Dealer Information section of this form. PIMCO Investments LLC is a limited-purpose broker-dealer and does not provide brokerage services or any financial advice.

Payment can be made by electronic transfer from your checking or savings account, or check. PIMCO Funds does not accept payment by cash, temporary/starter checks, credit cards, traveler's checks, credit card checks, money orders, checks drawn on non-U.S. banks (even if payment may be effected through a U.S. bank), foreign checks or debit cards.

If paying by check, please make it payable to "PIMCO Family of Funds" and send it with this application.

IMPORTANT INFORMATION ABOUT OPENING A NEW ACCOUNT

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify and record information that identifies each investor that opens an account. Certain institutions like Mutual Fund Companies, are also required to obtain, verify and record information about the beneficial owners and control persons of legal entity customers.

What this means for you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. In addition, we may also ask to see your driver's license or other identifying documents.

1. ACCOUNT REGISTRATION

Select only ONE type of registration below.

A. ☐ Individual* (Complete Section A only)

Name (First, Middle Initial, Last) _____

Date of Birth (mm/dd/yyyy)_____ / _____ / _____

Social Security Number_____ / _____ / _____

☐ U.S. Citizen ☐ Resident Alien ☐ Other _____

**To establish a Transfer on Death account, please call 800.426.0107 for an additional form.*

B. ☐ Joint Account* (Complete Section A and B. Individual in Section A will be primary account owner.)

Name (First, Middle Initial, Last) _____

Date of Birth (mm/dd/yyyy)_____ / _____ / _____

Social Security Number_____ / _____ / _____

☐ U.S. Citizen ☐ Resident Alien ☐ Other _____

Registration will be Joint Tenants with Right of Survivorship unless otherwise specified.

**To establish a Transfer on Death account, please call 800.426.0107 for an additional form.*

Investors should consider the investment objectives, risks, charges and expenses of the funds carefully before investing. This and other information are contained in the fund's prospectus and summary prospectus, if available, which may be obtained by contacting your financial advisor or PIMCO representative or by visiting pimco.com. Please read them carefully before you invest or send money.

PIMCO Investments LLC, *distributor, 1633 Broadway, New York, NY, 10019 is a company of PIMCO.*

A company of Allianz

1. ACCOUNT REGISTRATION *(continued)*

C. ☐ UGMA or UTMA (Complete Section C only)

Custodian's Name (First, Middle Initial, Last)_____

Date of Birth (mm/dd/yyyy)_____ / _____ / _____ Social Security Number_____ / _____ / _____

Minor's Name (Only one per account)_____

Date of Birth (mm/dd/yyyy)_____ / _____ / _____ Social Security Number_____ / _____ / _____

☐ U.S. Citizen ☐ Resident Alien ☐ Other_____

D. ☐ Trust (Complete Section D only)

Name of Trust _____

Trust Agreement Date (mm/dd/yyyy)_____ / _____ / _____

Trustee's Name (First, Middle Initial, Last)_____

Date of Birth (mm/dd/yyyy)_____ / _____ / _____ Social Security Number_____ / _____ / _____

Trustee's Name (First, Middle Initial, Last)_____

Date of Birth (mm/dd/yyyy)_____ / _____ / _____ Social Security Number_____ / _____ / _____

Account will be established under (select one):

☐ Tax ID Number_____ or ☐ Social Security Number_____ / _____ / _____

Please provide copies of ONE of the following documents to properly establish your account:

- *Title and signature page of Trust Agreement*
- *Certificate of Trust which contains the name of the trust, the names of the trustees and the signatures of the trustees*
- *Certificate of Incumbency*

E. ☐ Corporation (Complete Section E and Section 15 :Certification Regarding Beneficial Owners of Legal Entity Customers)

Name _____

Tax ID Number _____

☐ S Corporation* ☐ C Corporation

A copy of the Secretary's Certificate, Articles of Incorporation, LLC Agreement or Business License are needed to establish the account. A Corporate Resolution is not required but should be sent to establish Authorized Signers.

** The cost basis regulation removes the exemption from Form 1099-B reporting by S-Corporations. Please see Section 5: Cost Basis Election.*

F. ☐ Partnership (Complete Section F and Section 15 :Certification Regarding Beneficial Owners of Legal Entity Customers)

Name _____

Tax ID Number _____

The Bylaws or Partnership Agreement are needed.

2. MAILING ADDRESS AND TELEPHONE NUMBER

Mailing Address_____

(If you provide a P.O. Box, you must fill out Physical Address below) Suite/Apt. No.

City _____State _____ ZIP Code _____

Daytime Telephone Number ( ) _____

Additional Telephone Number (Optional) ( ) _____

Physical Address _____

(Required if different from above) Suite/Apt. No.

City _____State _____ ZIP Code _____

3. TRUSTED CONTACT INFORMATION

In conjunction with new FINRA Rule 2165 and amendments to FINRA Rule 4512, that became effective on February 5, 2018, we are seeking to obtain the name and contact information for a "trusted contact" person for shareholder accounts. We could reach out to the "trusted contact" if, for example, we were unable to contact you after multiple attempts, or if you became subject to a disability, or we had reason to believe that you were being abused or exploited by a third party.

If you choose to provide information about a trusted contact person, you agree that the trusted contact you have listed below may be contacted by the firm about your account. You are also agreeing that the firm, or an associated person of the firm, is authorized to contact the trusted contact, and disclose information about your account, to address possible financial exploitation, to confirm the specifics of your current contact information, health status, or the identity of any legal guardian, executor, trustee or holder of a power of attorney, or as otherwise permitted by FINRA Rule 2165. You are not required to provide a trusted contact person to us.

Please note, assigning a trusted contact does not give the trusted contact any discretionary authority over your account; accordingly, the individual you list, on the basis of being listed as a trusted contact, will not be able to make purchases, effectuate sales or disbursements, or conduct any other activity.

Trusted Contact Name _____ Relationship to Owner_____

Home Phone Number ( )_____ Cell Phone Number ( )_____

Email Address_____Age_____

Mailing Address_____

City_____State_____ZIP Code_____

4. DEALER INFORMATION

In order to establish an account, a third party registered representative is required to be listed below. A third party registered representative can help evaluate your financial planning needs and help set your investment objectives. **PIMCO Investments LLC is a limited-purpose broker-dealer and does not provide brokerage services or any financial advice.**

Dealer Name _____

Representative's Name (First, Middle Initial, Last) _____

Rep ID Number _____Representative's Branch Office Number _____

Branch Office Address _____

City _____State _____ ZIP Code _____

Telephone Number ( ) _____

Dealer Home Office Address:

Address _____

City _____State _____ ZIP Code _____

Telephone Number ( ) _____

5. COST BASIS ELECTION

The Internal Revenue Service (IRS) requires mutual fund companies to report cost basis information to shareholders and to the IRS on mutual fund shares acquired and subsequently redeemed after January 1, 2012 (hereinafter referred to as "covered shares"). This regulation removes the 1099-B reporting exemption for redemptions by S-Corporations. C-Corporations retain their exempt status.

To comply with this regulation, we ask that you choose one of the following cost basis methods for your new account. You may wish to consult your tax advisor to determine which method best suits your individual tax situation. When a cost basis reporting method is not specified, Average Cost will be applied until revoked or changed by you.

☐ **Average Cost (ACST):** Values the cost of covered shares in an account by averaging the effect of all covered transactions in the account. The average cost is calculated by taking the cumulative dollar cost of the covered shares owned and dividing it by the number of covered shares in the account. Non-covered shares are calculated separately from covered shares and are not reported to the IRS.

☐ **First In, First Out (FIFO):** Depletes shares beginning with the earliest acquisition date.

☐ **Last In, First Out (LIFO):** Depletes shares beginning with the latest acquisition date.

☐ **High Cost, First Out (HIFO):** Depletes shares beginning with shares purchased at the highest cost.

☐ **Low Cost, First Out (LOFO):** Depletes shares beginning with shares purchased at the lowest cost.

☐ **Loss/Gain Utilization (LGUT):** Evaluates losses and gains and then selects lots based on that gain/loss in conjunction with a holding period.

☐ **Specific Lot Identification (SLID):** You will need to designate specific shares to redeem at the time you place your redemption request. A secondary accounting method must also be selected below and will only be activated if the lots chosen are no longer available. If a secondary accounting method is not selected, First In, First Out will be applied until revoked or changed by you. Please note that Average Cost cannot be used as a secondary accounting method.

- ☐ First In, First Out (FIFO)
- ☐ Last In, First Out (LIFO)
- ☐ High Cost, First Out (HIFO)
- ☐ Low Cost, First Out (LOFO)
- ☐ Loss/Gain Utilization (LGUT)

A few things to note:

- *Your cost basis election method will be applied to ALL current and future fund positions for ALL of your accounts unless otherwise indicated.*
- *If you wish to select a different method for different funds contained within this form, please indicate as such. If you need additional space to indicate your selections, attach a separate page that includes all of the information requested above. Sign and date the page.*
- *If a method is not selected, your account will default to the Average Cost (ACST) method until revoked or changed. Please note that if you have selected the Average Cost method for existing shares and a redemption has occurred, this method cannot be revoked for these shares. However, a new method can be selected for new shares purchased in this account. Note that PIMCO is unable to provide tax advice related to specific investments or accounts. Please consult your tax advisor to determine which method is most appropriate for you.*
- *For 1099-B reportable accounts, any gains or losses on redeemed covered shares will be calculated and reported to the IRS in accordance with your cost basis election method.*
- *If you would like more information regarding these options, please visit us online at pimco.com/cost-basis or call a PIMCO Client Service Representative at 800.426.0107.*

6. FUND SELECTION(S)

List the fund and class of shares you are purchasing and indicate the amount or percentage to be invested per fund. A complete list of funds is available at the end of this account application. (See "Classes of Shares" and "Purchases, Redemptions and Exchanges" in the prospectus for detailed information on each share class.)

The minimum initial investment is $1,000 per fund but may be lowered to $250 with an Automatic Investment Plan. If establishing an Automatic Investment Plan, please complete section 9.

Please indicate how you will make your initial purchase:

☐ Check

☐ Electronic transfer from the bank account provided in Section 12 (The initial purchase will normally be debited from your bank account up to 2-3 business days after the application is received and the account is established.)

	Fund name and share class	Fund ticker / Fund number	Investment amount[1]
1.	_____	_____	$ _____ or _____ %
2.	_____	_____	$ _____ or _____ %
3.	_____	_____	$ _____ or _____ %
4.	_____	_____	$ _____ or _____ %
5.	Other: _____	_____	$ _____ or _____ %
		TOTAL:	**$** _____ or _____ %

If you have additional selections, attach a separate page that includes all of the information requested above. Sign and date the page.

[1] Class A shares are an initial sales charge alternative, while class C shares are an asset-based sales charge alternative. For class A shares, you may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. Please refer to PIMCO Funds Important Investor Information Regarding Breakpoints below, the PIMCO Funds prospectuses and statements of additional information or contact your financial advisor for further information.

[2] If entering percentages, please be sure to only include full percentage amounts. The percentages must equal 100%.

7. TELEPHONE TRANSACTIONS

Please accept or decline the right to transact via telephone for the below privileges. If you would like to link these privileges to your bank account so that purchases and redemptions can be debited/credited electronically, you must provide banking instructions in Section 12.

Purchases ☐ Accept ☐ Decline

Exchanges ☐ Accept ☐ Decline

Redemptions ☐ Accept ☐ Decline

If you would like to make purchase and redemptions by wire, check here: ☐ (Your bank may charge additional fees for wire transactions)

Please note that if you do not make an election, your account will automatically be coded to allow telephone transaction privileges mentioned above. *PIMCO Funds may accept telephone instructions from any person identifying himself/herself as the owner of an account or the owner's dealer representative provided that PIMCO Funds follows reasonable procedures and believes the instructions to be genuine. Thus, you risk potential losses in the event of an unauthorized telephone request. If bank account information is provided in Section 12, purchases and redemptions will be debited or credited directly to the bank account listed upon written or oral authorization. If elected, all wire instructions must be received in good order by PIMCO prior to NYSE close (or as otherwise noted in the prospectus) on the day your wire is received in order to receive that day's NAV. Due to mandatory cost basis regulations, for 1099-B reportable accounts, any gains or losses on mutual fund shares acquired and subsequently redeemed after January 1, 2012 will be reported to the IRS in accordance to your cost basis election method.*

8. DIVIDEND AND CAPITAL GAIN DISTRIBUTIONS

Select your dividend and capital gain distribution method. Check one box for dividends and/or one box for capital gains. If not specified, dividends and capital gains will be reinvested in the fund that pays them.

☐ Reinvest in the same fund. ☐ Dividends ☐ Capital Gains

☐ Reinvest in a different fund:

☐ Reinvest from fund _____ to fund_____ ☐ Dividends ☐ Capital Gains

☐ Reinvest from fund _____ to fund_____ ☐ Dividends ☐ Capital Gains

☐ Reinvest from fund _____ to fund_____ ☐ Dividends ☐ Capital Gains

☐ Reinvest from fund _____ to fund_____ ☐ Dividends ☐ Capital Gains

If you have additional selections, attach a separate page that includes all of the information requested above. Sign and date the page.

☐ Pay in cash:

☐ Deposit in my bank account via electronic transfer. ☐ Dividends ☐ Capital Gains
(Must complete Section 12 to establish banking instructions)

☐ Pay by check to account mailing address listed in Section 2. ☐ Dividends ☐ Capital Gains

☐ Pay by check to a third party below: ☐ Dividends ☐ Capital Gains

Third Party Name_____

Third Party Address _____

City _____State _____ ZIP Code _____

9. AUTOMATIC INVESTMENT PLAN

☐ Please establish an automatic investment plan for the funds and amounts listed below.* (Please complete banking information in Section 12)

Investment Schedule:

Transactions should occur ☐ Monthly ☐ Quarterly ☐ Semi-annually ☐ Annually

Transactions should begin_____ / _____ / _____(mm/dd/yyyy)

Fund Name _____ ☐ Class A ☐ Class C Purchase Amount _____

($50 minimum per fund)

Fund Name _____ ☐ Class A ☐ Class C Purchase Amount _____

($50 minimum per fund)

If you have additional selections, attach a separate page that includes all of the information requested above. Sign and date the page.

PIMCO Funds will code an account as lost when the United States Post Office or another carrier returns mailing(s) sent to the shareholder by PIMCO Funds as undeliverable. Once an account is deemed lost, for the shareholder's protection, PIMCO Funds will stop any automatic investment plan on the account. Additionally, once PIMCO Funds confirms a shareholder is deceased, any automatic investment plan on the accounts will be stopped.

** A $250 minimum investment is required to open a new fund position through the Automatic Investment Plan. Automatic investments are subject to the following conditions. Your cost basis election method will be applied to all future funds added to ALL of your accounts unless otherwise indicated by you. Your bank account will be charged on or about the date of each investment as shown above. The privilege of making investments via an automatic investment plan may be revoked by PIMCO Funds without prior notice if any check is not paid upon presentation. PIMCO Funds shall be under no obligation to notify the undersigned as to the non-payment of any check. Automatic investment plan may be discontinued by PIMCO Funds upon thirty (30) days' written notice prior to any investment date or by the undersigned at any time by written notice to PIMCO Funds, provided such notice is received at least ten (10) business days prior to the due date of any investment.*

10. AUTOMATIC EXCHANGE PLAN

Due to mandatory cost basis regulations, for 1099-B reportable accounts, any gains or losses on mutual fund shares acquired and subsequently redeemed after January 1, 2012 will be reported to the IRS in accordance with your cost basis election method.

☐ Please establish a new automatic exchange plan for the funds and amounts listed below.*

Auto Exchange Schedule:

Exchanges should occur ☐ Monthly ☐ Quarterly ☐ Semi-annually ☐ Annually

Amount of Exchange _____ **Exchanges should begin**_____ / _____ / _____(mm/dd/yyyy)

($50 minimum per fund)

From: Fund Name_____ Account Number _____

Please make the exchange to the following PIMCO Funds account:

To: Fund Name_____ Account Number _____

If you have additional selections, attach a separate page that includes all of the information requested above. Sign and date the page.

PIMCO Funds will code an account as lost when the United States Post Office or another carrier returns mailing(s) sent to the shareholder by PIMCO Funds as undeliverable. Once an account is deemed lost, for the shareholder's protection, PIMCO Funds will stop any automatic exchange plan on the account. Additionally, once PIMCO Funds confirms a shareholder is deceased, any automatic exchange plan on the accounts will be stopped.

** A $1,000 minimum exchange is required to open a new account or fund position through the Automatic Exchange Plan. If the new account will have a different registration, you will also need to complete a PIMCO Funds Account Application. PIMCO Funds may discontinue the automatic exchange upon written notice 30 days prior to any exchange date, or by the above-signed shareholder at any time at least ten (10) business days prior to an exchange date.*

11. AUTOMATIC WITHDRAWAL PLAN

A minimum account balance of $10,000 is required for an automatic withdrawal plan to be established on an account. Due to mandatory cost basis regulations, for 1099-B reportable accounts, any gains or losses on mutual fund shares acquired and subsequently redeemed after January 1, 2012 will be reported to the IRS in accordance with your cost basis election method.

☐ Please establish an automatic withdrawal plan for the following funds and amounts.

Withdrawal Schedule:

Transactions should occur ☐ Monthly ☐ Quarterly ☐ Semi-annually ☐ Annually

Transactions should begin_____ / _____ / _____(mm/dd/yyyy)

Fund Name _____ ☐ Class A ☐ Class C Withdrawal Amount _____

($50 minimum per fund)

Fund Name _____ ☐ Class A ☐ Class C Withdrawal Amount _____

($50 minimum per fund)

Note: This can result in the liquidation of the account in the event the periodic distribution amount exceeds the current account balance.

If you have additional selections, attach a separate page that includes all of the information requested above. Sign and date the page.

Please Send Redemption Proceeds to:

☐ Mailing address listed in Section 2

☐ Bank account via electronic transfer (Must complete Section 12 to establish banking instructions)

☐ Third party payee as follows:

Name _____

Address _____

City _____ State _____ ZIP Code _____

PIMCO Funds will code an account as lost when the United States Post Office or another carrier returns mailing(s) sent to the shareholder by PIMCO Funds as undeliverable. Once an account is deemed lost, for the shareholder's protection, PIMCO Funds will stop any automatic redemption plan on the account. Additionally, once PIMCO Funds confirms a shareholder is deceased, any automatic redemption plan on the accounts will be stopped.

Withdrawals will be sent to account registration address or to the bank account in Section 12 via electronic transfer, unless otherwise specified. If you are authorizing redemption proceeds to be sent to a bank account, those proceeds will normally post to your bank account up to 3 business days after the date you have selected to have the redemption(s) processed. This plan is subject to the terms of the prospectus. PIMCO Funds is hereby authorized to redeem shares from this account in accordance with the instructions listed above.

12. BANK ACCOUNT INFORMATION

Please provide information on the bank account you would like to link your PIMCO Funds account.

Type of Account (Select one):

☐ Checking Account (Please attach a pre-printed voided check.*)

☐ Savings Account (Please attach a voided deposit slip.*)

Signature of Bank Account Owner(s) (Required):

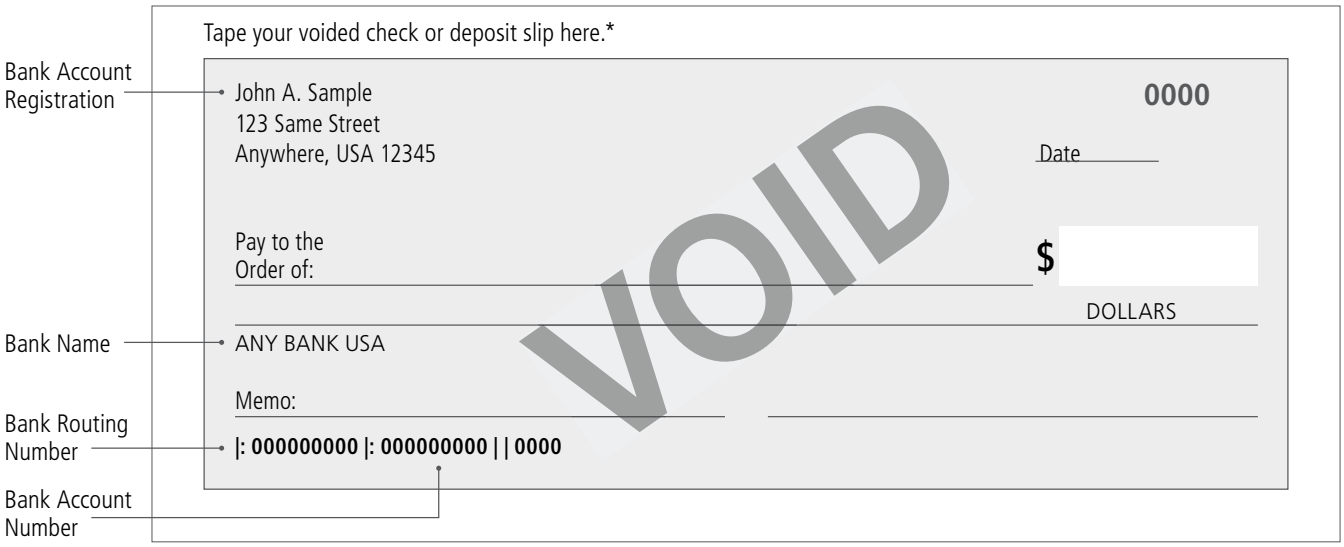
Signature X _____ Date _____

Signature X _____ Date _____

(If multiple account owners listed on Checking or Savings Account, all must sign.)

**Alternatively if unavailable, PIMCO Funds will accept a letter on bank letterhead, signed by a bank employee confirming the bank account type, registration, account number and routing number*

12. BANK ACCOUNT INFORMATION (continued)



13. REDUCED SALES CHARGE FOR CLASS A SHARES (choose one only)

☐ **Right of Accumulation:** I own shares in other PIMCO Funds which may entitle this purchase to a reduced sales charge under the provisions in the fund prospectus. (See "Initial Sales Charges – Class A Shares: Combined Purchase Privilege and Right of Accumulation (Breakpoints)" in the prospectus.)

Existing Account Name _____ Account Number _____

Existing Account Name _____ Account Number _____

☐ **Letter of Intent (LOI)*:** I agree to the Letter of Intent conditions stated in the current prospectus. I intend to invest, within a 13-month period beginning on the establish date, in shares of the fund(s) purchased with this application, an aggregate amount which, together with the value of shares of any eligible funds owned by me on the establish date, will be at least equal to:

☐ Establish NEW LOI: ☐ $50,000 ☐ $100,000 ☐ $250,000 ☐ $500,000 ☐ $1,000,000

☐ Add to EXISTING LOI: Existing Account Name_____ Account Number _____

** The maximum intended investment amount allowable in a Letter of Intent is $1,000,000 (except for Class A shares of the PIMCO California Intermediate Municipal Bond, PIMCO California Municipal Bond, PIMCO California Short Duration Municipal Income, PIMCO Floating Income, PIMCO High Yield Municipal Bond, PIMCO Low Duration, PIMCO Municipal Bond, PIMCO National Intermediate Municipal Bond, PIMCO New York Municipal Bond, PIMCO Senior Floating Rate, PIMCO Short Asset Investment, PIMCO Short-Term and PIMCO Short Duration Municipal Income Funds, for which the maximum intended investment amount is $250,000).*

☐ **NAV Eligibility:** I am entitled to buy Class A Shares at NAV because (explain)_____

If you are a registered representative or full-time employee of a participating broker-dealer or your relationship to the registered representative/full-time employee allows for NAV purchases per the statement of additional information, you must also have an "NAV Certification for Financial Advisors" form completed. To request a form, please call 800.628.1237 (Financial Advisors of wirehouse firms) or 800.880.8684 (Financial Advisors of independent or regional firms and/or banks).

14. SIGNATURE(S) AND CERTIFICATION

I/We understand that my/our account will be automatically subject to certain telephone privileges unless I/we restrict such privileges under Section 7 and that SS&C Global Investor & Distribution Solutions, Inc., and PIMCO Funds and their affiliated persons and service providers shall not be liable for any loss incurred by me/us by reason of accepting unauthorized telephone requests for my/our account provided that PIMCO Funds follows reasonable procedures and believes the instructions to be genuine. The undersigned certify(ies) that I/we have full authority and, if a natural person, I/we am/are of legal age to purchase shares pursuant to this application, have received and reviewed a current prospectus for the PIMCO Funds I/we intend to purchase and agree to be bound by all the terms, conditions and account features selected in any and all parts of this Application and the prospectus. A copy of the current prospectus(es) can be accessed at pimco.com. Additionally, the undersigned agree(s) that I/we will access and review an applicable then current prospectus for any additional PIMCO Funds that I/we may purchase in the future prior to completing any purchase of each such PIMCO Funds and in each case agree(s) to be bound by all of the terms, conditions and account features in each then applicable prospectus. Further, I/we understand that I/we may choose between two share classes. I/we am/are aware of the fee

14. SIGNATURE(S) AND CERTIFICATION (continued)

structures for the two share classes and have selected the class best suited to my/our investment objectives. If I/we am/are requesting that a trust account be opened, I/we certify that (i) I/we am/are the Trustee(s) of said Trust (ii) I/we have provided a copy of the trust agreement [as amended]; and (iii) I/we certify that the trust is still in existence and none of the conditions for termination have occurred. **Under the penalties of perjury, I certify that: (i) the number shown in Section 1 is my correct Social Security/Tax Identification Number or Government Issued ID number, or I have applied, or will apply, for such a number and will provide it within sixty (60) days after signing this application [if I don't supply such a number within sixty (60) days, I am subject to withholding tax] and (ii) I am not subject to backup withholding because the IRS (a) has not notified me that I am subject to backup withholding as a result of failure to report all interest or dividends, or (b) has rescinded a previously imposed backup withholding requirement. I am aware that if the Social Security/Tax Identification Number or Government Issued ID number I have provided is incorrect, I am subject to backup withholding, (iii) I am a U.S. Person (including a U.S. Resident Alien), and (iv) if applicable, to the best of my knowledge, the information provided in section 15 is complete and correct.** I/We understand that in accordance with applicable state regulations, my/our account balance may be transferred to the appropriate state if no activity occurs in the account within the time period specified by state law. I/We represent I/we understand that one copy of prospectuses and annual/semiannual reports will be mailed to a single household ("householding"), thereby eliminating wasteful duplication, and a household is defined as two or more investors with the same last name and address. [☐] Check here if you do not want your account to be combined with others in your household. **If you are subject to backup withholding, please cross out number (ii) above. The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.**

Sign exactly as the account is to be registered:

Signature of account owner, trustee, executor/executrix, general partner, etc.

X _____ Date _____

Title of Officer, Trustee, etc. _____

(If applicable)

Signature of joint account owner, co-trustee, executor/executrix, general partner, etc.

X _____ Date _____

Title of Officer, Trustee, etc. _____

(If applicable)

15. CERTIFICATION REGARDING BENEFICIAL OWNERS OF LEGAL ENTITY CUSTOMERS

Control Person (required): For one individual with significant responsibility for managing the legal entity listed above, such as:

- An executive officer or senior manager (e.g., Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Treasurer); or
- Any other individual who regularly performs similar functions.

First Name _____ Last Name _____

Address _____

(Residential or Business Address; P.O. Box is not allowed)

City _____ State _____ ZIP _____

Date of Birth (mm/dd/yyyy) _____ Social Security Number (US Person) _____

Passport Number (Non-US Person) _____ Country of Issuance _____

Citizenship: ☐ US Citizen ☐ US Resident Alien ☐ Non-US Ownership Distinctions: ☐ Ownership

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Beneficial Owners: Persons opening an account on behalf of a legal entity must provide the following information for individual, **if any**, who, directly or indirectly, through any contract, arrangement, understanding, relationship or otherwise, owns 25 percent or more of the equity interests of the legal entity listed above (If no individual meets this definition, please write "Not Applicable.")

First Name _____ Last Name _____

Address _____

(Residential or Business Address; P.O. Box is not allowed)

City _____ State _____ ZIP _____

Date of Birth (mm/dd/yyyy) _____ Social Security Number (US Person) _____

Passport Number (Non-US Person) _____ Country of Issuance _____

Citizenship: ☐ US Citizen ☐ US Resident Alien ☐ Non-US

First Name _____ Last Name _____

Address _____

(Residential or Business Address; P.O. Box is not allowed)

City _____ State _____ ZIP _____

Date of Birth (mm/dd/yyyy) _____ Social Security Number (US Person) _____

Passport Number (Non-US Person) _____ Country of Issuance _____

Citizenship: ☐ US Citizen ☐ US Resident Alien ☐ Non-US

If there are additional individuals, attach a separate page that includes all of the information requested above. Sign and date the page.

In lieu of a passport number, foreign persons may also provide an alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguard.

Legal Entity Identifier _____ (Optional)

PIMCO Class A and Class C Shares Fund List and Identifiers

FUND NAME	CLASS	TICKER	FUND NUMBER
PIMCO All Asset All Authority Fund	Class A	PAUAX	4019
PIMCO All Asset All Authority Fund	Class C	PAUCX	4219
PIMCO All Asset Fund	Class A	PASAX	4018
PIMCO All Asset Fund	Class C	PASCX	4218
PIMCO California Intermediate Municipal Bond Fund	Class A	PCMBX	4038
PIMCO California Intermediate Municipal Bond Fund	Class C	PCFCX	4238
PIMCO California Municipal Bond Fund	Class A	PCTTX	6040
PIMCO California Municipal Bond Fund	Class C	PCTGX	6240
PIMCO California Short Duration Municipal Income Fund	Class A	PCDAX	4117
PIMCO Climate and Bond Fund	Class A	PCEBX	8087
PIMCO Climate and Bond Fund	Class C	PCECX	8287
PIMCO CommoditiesPLUS® and Bond Alpha Fund	Class A	PCLAX	6016
PIMCO CommoditiesPLUS® and Bond Alpha Fund	Class C	PCPCX	6216
PIMCO Commodity and Real Return Bond Alpha Fund	Class A	PCRAX	4049
PIMCO Commodity and Real Return Bond Alpha Fund	Class C	PCRCX	4249
PIMCO Credit Opportunities Bond Fund	Class A	PZCRX	6033
PIMCO Credit Opportunities Bond Fund	Class C	PCCRX	6233
PIMCO Diversified Income Fund	Class A	PDVAX	4033
PIMCO Diversified Income Fund	Class C	PDICX	4233
PIMCO Dividend and Income Fund	Class A	PQIZX	6036
PIMCO Dividend and Income Fund	Class C	PQICX	6236
PIMCO Dynamic Bond Fund	Class A	PUBAX	4086
PIMCO Dynamic Bond Fund	Class C	PUBCX	4286
PIMCO Emerging Markets Bond Fund	Class A	PAEMX	4001
PIMCO Emerging Markets Bond Fund	Class C	PEBCX	4201
PIMCO Emerging Markets Currency and Short-Term Investments Fund	Class A	PLMAX	4029
PIMCO Emerging Markets Local Currency and Bond Fund	Class A	PELAX	4032
PIMCO Emerging Markets Local Currency and Bond Fund	Class C	PELCX	4232
PIMCO ESG Income and Bond Fund	Class A	PEGAX	8090
PIMCO ESG Income and Bond Fund	Class C	PEGBX	8290
PIMCO Global Advantage® Strategy Bond Fund	Class A	PGSAX	4087
PIMCO Global Bond Opportunities Fund (U.S. Dollar-Hedged)	Class A	PAIIX	4011
PIMCO Global Bond Opportunities Fund (U.S. Dollar-Hedged)	Class C	PCIIX	4211
PIMCO Global Core Asset Allocation Fund	Class A	PGMAX	4090
PIMCO Global Core Asset Allocation Fund	Class C	PGMCX	4290
PIMCO GNMA and Government Securities Fund	Class A	PAGNX	4055
PIMCO GNMA and Government Securities Fund	Class C	PCGNX	4255
PIMCO Government Money Market Fund	Class A	AMAXX	4099
PIMCO Government Money Market Fund	Class C	AMGXX	4299
PIMCO High Yield Fund	Class A	PHDAX	4006
PIMCO High Yield Fund	Class C	PHDCX	4206
PIMCO High Yield Municipal Bond Fund	Class A	PYMAX	4025
PIMCO High Yield Municipal Bond Fund	Class C	PYMCX	4225
PIMCO High Yield Spectrum Fund	Class A	PHSAX	6020
PIMCO High Yield Spectrum Fund	Class C	PHSCX	6220
PIMCO Income Fund	Class A	PONAX	4021
PIMCO Income Fund	Class C	PONCX	4221
PIMCO Inflation Response Multi-Asset Fund	Class A	PZRMX	6034
PIMCO International Bond Fund (Unhedged)	Class A	PFUAX	4042
PIMCO International Bond Fund (Unhedged)	Class C	PFRCX	4242
PIMCO International Bond Fund (U.S. Dollar-Hedged)	Class A	PFOAX	4007
PIMCO International Bond Fund (U.S. Dollar-Hedged)	Class C	PFOCX	4207
PIMCO Investment Grade Credit Bond Fund	Class A	PBDAX	4051
PIMCO Investment Grade Credit Bond Fund	Class C	PBDCX	4251
PIMCO Long Duration Total Return Fund	Class A	PLRAX	8084
PIMCO Long Duration Total Return Fund	Class C	PLRCX	8204
PIMCO Long-Term U.S. Government Fund	Class A	PFGAX	4003
PIMCO Long-Term U.S. Government Fund	Class C	PFGCX	4203
PIMCO Low Duration Credit Fund	Class A	PSRZX	6026
PIMCO Low Duration Credit Fund	Class C	PSRWX	6226
PIMCO Low Duration Fund	Class A	PTLAX	4004
PIMCO Low Duration Fund	Class C	PTLCX	4204
PIMCO Low Duration Income Fund	Class A	PFIAX	4041
PIMCO Low Duration Income Fund	Class C	PFNCX	4241
PIMCO Low Duration Opportunities Fund	Class A	ATMAX	6001
PIMCO Low Duration Opportunities Fund	Class C	ATMCX	6201

PIMCO Class A and Class C Shares Fund List and Identifiers *(continued)*

FUND NAME	CLASS	TICKER	FUND NUMBER
PIMCO Mortgage Opportunities and Bond Fund	Class A	PMZAX	6044
PIMCO Mortgage Opportunities and Bond Fund	Class C	PMZCX	6244
PIMCO Municipal Bond Fund	Class A	PMLAX	4012
PIMCO Municipal Bond Fund	Class C	PMLCX	4212
PIMCO National Intermediate Municipal Bond Fund	Class A	PMNTX	6041
PIMCO National Intermediate Municipal Bond Fund	Class C	PMNNX	6241
PIMCO New York Municipal Bond Fund	Class A	PNYAX	4037
PIMCO New York Municipal Bond Fund	Class C	PBFCX	4237
PIMCO Preferred and Capital Securities Fund	Class A	PFANX	8021
PIMCO Preferred and Capital Securities Fund	Class C	PFCJX	8286
PIMCO RAE Emerging Markets Fund	Class A	PEAFX	8022
PIMCO RAE Fundamental Advantage PLUS Fund	Class A	PTFAX	4088
PIMCO RAE Global ex-US Fund	Class A	PZRAX	8027
PIMCO RAE International Fund	Class A	PPYAX	8025
PIMCO RAE PLUS EMG Fund	Class A	PEFFX	6046
PIMCO RAE PLUS EMG Fund	Class C	PEFCX	6246
PIMCO RAE PLUS Fund	Class A	PIXAX	4043
PIMCO RAE PLUS Fund	Class C	PIXCX	4243
PIMCO RAE PLUS International Fund	Class A	PTSOX	8002
PIMCO Small Cap RAE PLUS Bond Alpha Fund	Class A	PCFAX	8001
PIMCO Small Cap RAE PLUS Bond Alpha Fund	Class C	PCFEX	8201
PIMCO RAE US Fund	Class A	PKAAX	8024
PIMCO RAE US Small Fund	Class A	PMJAX	8023
PIMCO RAE Worldwide Long/Short PLUS Fund	Class A	PWLBX	8085
PIMCO RAE Worldwide Long/Short PLUS Fund	Class C	PWLEX	8285
PIMCO Real Estate and Real Return Bond Alpha Fund	Class A	PETAX	4061
PIMCO Real Estate and Real Return Bond Alpha Fund	Class C	PETCX	4261
PIMCO REALPATH® Blend 2030 Fund	Class A	PBPAX	8015
PIMCO REALPATH® Blend 2035 Fund	Class A	PDGAX	8016
PIMCO REALPATH® Blend 2040 Fund	Class A	PVPAX	8017
PIMCO REALPATH® Blend 2045 Fund	Class A	PVQAX	8018
PIMCO REALPATH® Blend 2050 Fund	Class A	PPQAX	8019
PIMCO REALPATH® Blend 2055 Fund	Class A	PRQAX	8020
PIMCO REALPATH® Blend 2060 Fund	Class A	PRBAX	8088
PIMCO REALPATH® Blend 2065 Fund	Class A	PBLLX	8091
PIMCO REALPATH® Blend 2070 Fund	Class A	PAJEX	8093
PIMCO REALPATH® Blend Income Fund	Class A	PBRAX	8012
PIMCO Real Return Fund	Class A	PRTNX	4010
PIMCO Real Return Fund	Class C	PRTCX	4210
PIMCO Short Asset Investment Fund	Class A	PAIAX	6042
PIMCO Short Duration Municipal Income Fund	Class A	PSDAX	4039
PIMCO Short Duration Municipal Income Fund	Class C	PSDCX	4239
PIMCO Short-Term Fund	Class A	PSHAX	4002
PIMCO Short-Term Fund	Class C	PFTCX	4202
PIMCO Stocks PLUS Bond Absolute Return Alpha Fund	Class A	PTOAX	4062
PIMCO Stocks PLUS Bond Absolute Return Alpha Fund	Class C	PSOCX	4262
PIMCO Stocks PLUS Bond Alpha Fund	Class A	PSPAX	4008
PIMCO Stocks PLUS Bond Alpha Fund	Class C	PSPCX	4208
PIMCO International Stocks PLUS Bond Alpha Fund (Unhedged)	Class A	PPUAX	4056
PIMCO International Stocks PLUS Bond Alpha Fund (Unhedged)	Class C	PPUCX	4256
PIMCO International Stocks PLUS Bond Alpha Fund (U.S. Dollar-Hedged)	Class A	PIPAX	4044
PIMCO International Stocks PLUS Bond Alpha Fund (U.S. Dollar-Hedged)	Class C	PIPCX	4244
PIMCO Inverse Stocks PLUS Bond Alpha Fund	Class A	PSSAX	4052
PIMCO Inverse Stocks PLUS Bond Alpha Fund	Class C	PSSCX	4252
PIMCO Small Cap Stocks PLUS Bond Alpha Fund	Class A	PCKAX	4053
PIMCO Small Cap Stocks PLUS Bond Alpha Fund	Class C	PCKCX	4253
PIMCO Total Return ESG and Bond Fund	Class A	PTGAX	8089
PIMCO Total Return ESG and Bond Fund	Class C	PTGCX	8289
PIMCO Total Return Fund	Class A	PTTAX	4005
PIMCO Total Return Fund	Class C	PTTCX	4205
PIMCO Total Return Fund IV	Class A	PTUZX	6032
PIMCO TRENDS Fund	Class A	PQTAX	6047
PIMCO TRENDS Fund	Class C	PQTCX	6247

PIMCO Privacy Policy[1]

PIMCO Funds
PIMCO Variable Insurance Trust ("PVIT")
PIMCO ETF Trust
PIMCO Equity Series ("PES")
PIMCO Equity Series VIT ("PESVIT")
PIMCO Managed Accounts Trust
PIMCO Sponsored Closed-End Funds
PIMCO Sponsored Interval Funds
PIMCO Capital Solutions BDC Corp.[2]
PIMCO Investments LLC[3]

The Funds consider customer privacy to be a fundamental aspect of their relationships with shareholders and are committed to maintaining the confidentiality, integrity and security of their current, prospective and former shareholders' non-public personal information. The Funds have developed policies that are designed to protect this confidentiality, while allowing shareholder needs to be served.

Obtaining Non-Public Personal Information

In the course of providing shareholders with products and services, the Funds and certain service providers to the Funds, such as the Funds' investment advisers or sub-advisers ("Advisers"), may obtain non-public personal information about shareholders, which may come from sources such as account applications and other forms, from other written, electronic or verbal correspondence, from shareholder transactions, from a shareholder's brokerage or financial advisory firm, financial professional or consultant, and/or from information captured on applicable websites.

Respecting Your Privacy

As a matter of policy, the Funds do not disclose any non-public personal information provided by shareholders or gathered by the Funds to non-affiliated third parties, except as required or permitted by law or as necessary for such third parties to perform their agreements with respect to the Funds. As is common in the industry, non-affiliated companies may from time to time be used to provide certain services, such as preparing and mailing prospectuses, reports, account statements and other information, conducting research on shareholder satisfaction and gathering shareholder proxies. The Funds or their affiliates may also retain non-affiliated companies to market Fund shares or products which use Fund shares and enter into joint marketing arrangements with them and other companies. These companies may have access to a shareholder's personal and account information, but are permitted to use this information solely to provide the specific service or as otherwise permitted by law. In most cases, the shareholders will be clients of a third party, but the Funds may also provide a shareholder's personal and account information to the shareholder's respective brokerage or financial advisory firm and/or financial professional or consultant.

Sharing Information with Third Parties

The Funds reserve the right to disclose or report personal or account information to non-affiliated third parties in limited circumstances where the Funds believe in good faith that disclosure is required under law, to cooperate with regulators or law enforcement authorities, to protect their rights or property, or upon reasonable request by any Fund in which a shareholder has invested. In addition, the Funds may disclose information about a shareholder or a shareholder's accounts to a non-affiliated third party at the shareholder's request or with the consent of the shareholder.

Sharing Information with Affiliates

The Funds may share shareholder information with their affiliates in connection with servicing shareholders' accounts, and subject to applicable law may provide shareholders with information about products and services that the Funds or their Advisers, distributors or their affiliates ("Service Affiliates") believe may be of interest to such shareholders. The information that the Funds may share may include, for example, a shareholder's participation in the Funds or in other investment programs sponsored by a Service Affiliate, a shareholder's ownership of certain types of accounts (such as IRAs), information about the Funds' experiences or transactions with a shareholder, information captured on applicable websites, or other data about a shareholder's accounts, subject to applicable law. The Funds' Service Affiliates, in turn, are not permitted to share shareholder information with non-affiliated entities, except as required or permitted by law.

Procedures to Safeguard Private Information

The Funds take seriously the obligation to safeguard shareholder non-public personal information. In addition to this policy, the Funds have implemented procedures that are designed to restrict access to a shareholder's non-public personal information to internal personnel who need to know that information to perform their jobs, such as servicing shareholder accounts or notifying shareholders of new products or services. Physical, electronic and procedural safeguards are in place to guard a shareholder's non-public personal information.

Information Collected from Websites

The Funds or their service providers and partners may collect information from shareholders via websites they maintain. The information collected via websites maintained by the Funds or their service providers includes client non-public personal information.

Changes to the Privacy Policy

From time to time, the Funds may update or revise this privacy policy. If there are changes to the terms of this privacy policy, documents containing the revised policy on the relevant website will be updated.

[1] When distributing this Policy, a Fund may combine the distribution with any similar distribution of its investment adviser's privacy policy. The distributed, combined, policy may be written in the first person (i.e. by using "we" instead of "the Funds").

[2] The listed entities which are open-end investment companies are known as the "Trusts," the listed entities which are publicly-traded closed-end investment companies are known as the "Closed-End Funds," the listed entities which are closed-end investment companies operating as "interval" funds pursuant to Rule 23c-3 under the 1940 Act are known as the "Interval Funds", and the listed entities which are business development companies are known as the "BDCs." The Trusts' respective series, the Closed-End Funds, the Interval Funds and the BDC are referred to herein as the "Funds." References to "Trustees" include Directors, as applicable.

[3] PIMCO Investments LLC ("PI") serves as the Funds' distributor and does not provide brokerage services or any financial advice to investors in the Funds solely because it distributes the Funds. This Privacy Policy applies to the activities of PI to the extent that PI regularly effects or engages in transactions with or for a shareholder of a series of a Trust who is the record owner of such shares. For purposes of this Privacy Policy, references to "the Funds" shall include PI when acting in this capacity.

PIMCO Funds Important Investor Information Regarding Breakpoints

Below is a general Breakpoint Disclosure Statement discussing various matters an investor should consider when investing in the PIMCO Funds. Please read it carefully. For more detailed information on these matters with respect to the PIMCO Funds: (i) contact your financial advisor, (ii) visit our website at pimco.com to obtain copies of the current PIMCO Funds prospectuses and statements of additional information, or (iii) call PIMCO Funds at 888.877.4626.

Breakpoint Disclosure Statement

Before investing in the PIMCO Funds, it is important that you understand the sales charges, expenses, and management fees that you will be charged, as well as the breakpoint discounts to which you may be entitled. Understanding these charges and breakpoint discounts will assist you in identifying the best investment for your particular needs and may help you reduce the cost of your investment. This disclosure statement will give you general background information about these charges and discounts with respect to the PIMCO Funds. Sales charges, expenses, management fees, and breakpoint discounts, however, vary from PIMCO Fund to PIMCO Fund. **Therefore, you should discuss these issues with your financial advisor and review each PIMCO Fund's prospectus and statement of additional information, which are available from your financial advisor or our website, to get the specific information regarding the charges and breakpoint discounts associated with a particular PIMCO Fund.** An investor should provide certain information or records (as set forth in the prospectus) to their financial advisor, or to the PIMCO Funds' Distributor, to verify the investor's eligibility for breakpoint discounts. If such information or records are not provided, the financial advisor and/or PIMCO Funds' Distributor may be unable to ensure that the discount is correctly applied to the investor's account.

Sales Charges

Investors that purchase PIMCO Funds must make certain choices, including which funds to purchase and which share class is most advantageous. Each PIMCO Fund has a specified investment strategy. You need to consider whether the fund's investment strategy is compatible with your investment objectives. Additionally, each publically available PIMCO Fund offers different share classes. Although each share class represents a similar interest in the fund's portfolio, the fund will charge you different fees and expenses depending upon your choice of share class. Class A shares of most PIMCO Funds carry a "front-end" sales charge or "load" that is deducted from your investment at the time you buy fund shares. This sales charge is a percentage of your total purchase. As explained below, the PIMCO Funds offer volume discounts to the front-end sales charge assessed on Class A shares at certain pre-determined levels of investment, which are called "breakpoint discounts." A complete waiver of front-end sales charges on Class A share purchases may also be available for certain types of accounts or account holders.

In contrast, Class C shares of the PIMCO Funds do not carry any front-end sales charges. Instead, investors that purchase Class C shares pay asset-based sales charges, which continues for the life of your investment. Investors that purchase Class C shares may also be required to pay a Contingent Deferred Sales Charge if they sell their shares within one year of purchase. Investors in Class A shares of the PIMCO Funds may also pay a Contingent Deferred Sales Charge if they paid no front-end sales charge due to a volume discount and then redeem such shares within 18 months of purchase.

Breakpoint Discounts and Waivers

The PIMCO Funds offer investors a variety of ways to qualify for breakpoint discounts on the sales charge associated with the purchase of Class A shares. In general, the PIMCO Funds provide breakpoint discounts to investors who make large purchases at one time. The extent of the discount depends upon the size of the purchase. As the amount of the purchase increases, the percentage used to determine the sales charge decreases. In fact, the entire sales charge may be waived for investors that make very large purchases of Class A shares or for certain types of accounts and account holders. The PIMCO Funds' prospectuses contain tables that illustrate the available breakpoint discounts and the investment levels at which breakpoint discounts apply. Additionally, the PIMCO Funds allow investors to qualify for breakpoint discounts based upon current holdings from prior purchases through "Right of Accumulation," and future purchases, based upon "Letters of Intent." You should discuss these issues with your financial advisor and review the applicable PIMCO Funds prospectus to determine the specific terms upon which the PIMCO Funds offer breakpoint discounts.

Combined Purchase Privilege — A "Qualifying Investor" may qualify for a breakpoint discount on Class A shares by combining concurrent purchases of the Class A shares of one or more PIMCO Funds into a single purchase.

Right of Accumulation — A "Qualifying Investor" may qualify for a breakpoint discount on Class A shares by combining the purchase of Class A shares of a PIMCO Fund with the current aggregate net asset value (NAV) of all Class A and C shares of any PIMCO Fund held by accounts for the benefit of such Qualifying Investor.

A "Qualifying Investor" may include an individual, certain immediate family relatives or other related party accounts, as set forth in the current prospectus. You should refer to the prospectus for details and restrictions. If you wish to rely upon the holdings of related parties or account balances at another financial firm to qualify for a breakpoint discount, you should speak with your financial advisor about these accounts. You may need to provide documentation to your financial advisor or the PIMCO Funds' Distributor if you wish to rely upon such accounts for purposes of receiving a breakpoint discount.

Letters of Intent — The PIMCO Funds allow investors to qualify for breakpoint discounts by signing a Letter of Intent, which indicates the investor's intent to purchase a specified amount of Class A shares within 13 months. For example, if an investor plans to purchase $50,000 worth of Class A shares over a period of 13 months, but each individual purchase would not qualify for a breakpoint discount, the investor could sign a Letter of Intent at the time of the first purchase and receive the breakpoint discount associated with $50,000 investments on the first and all subsequent purchases. Additionally, the PIMCO Funds offer retroactive Letters of Intent that allow investors to rely upon purchases in the past to qualify for a breakpoint discount. If an investor fails to invest the amount required by the Letter of Intent, however, the PIMCO Funds are entitled to retroactively deduct the correct sales charges based upon the amount that the investor actually invested. If you intend to make several purchases within a 13-month period, you should consult your financial advisor and the PIMCO Funds prospectuses to determine if it would be beneficial for you to sign a Letter of Intent.

To determine whether an investor qualifies for a breakpoint discount, the offering price of the shares is used for purchases relying on the Combined Purchase Privilege or a Letter of Intent and the amount of the total current purchase (including any sales charge) plus the NAV of shares previously acquired is used for the Right of Accumulation.

Reinstatement Privilege — Class A investors who have redeemed some or all of their shares may reinvest all or a portion of such redemption proceeds in new Class A shares of any PIMCO Fund at NAV without any sales charge, provided that such investment is made within 120 days after the redemption date. The reinstatement privilege may be utilized by a shareholder only once, with certain exceptions noted in the PIMCO Funds prospectuses and statements of additional information.

Sales Without a Sales Charge — In addition to the programs summarized above, the PIMCO Funds may sell their Class A shares at NAV without any sales charge to certain types of accounts or account holders, as set forth in the current prospectus. Also, Class A shares issued pursuant to the automatic reinvestment of income dividends or capital gains distributions are issued at NAV and are not subject to any sales charges.

Understanding the availability of breakpoint discounts is important because it may allow you to purchase Class A shares at a lower price. The availability of breakpoint discounts may save you money and may also affect your decision regarding the appropriate share class in which to invest. Therefore, you should discuss the availability of breakpoint discounts with your financial advisor and carefully review the applicable PIMCO Funds prospectus and statement of additional information, which you can get from your financial advisor or our website, when choosing among the funds and share classes offered by the PIMCO Funds. If you wish to learn more about mutual fund share classes or mutual fund breakpoints, you may wish to review the investor alerts available on the FINRA website. See finra.org/Investors/ProtectYourself/InvestorAlerts/MutualFunds/p006022 and finra.org/Investors/ProtectYourself/InvestorAlerts/MutualFunds/P006008.

PIMCO Funds
PIMCO Variable Insurance Trust ("PVIT")
PIMCO ETF Trust
PIMCO Equity Series ("PES")
PIMCO Equity Series VIT ("PESVIT")
PIMCO Managed Accounts Trust
PIMCO Sponsored Closed-End Funds
PIMCO Sponsored Interval Funds
PIMCO Capital Solutions BDC Corp.[1]
PIMCO Investments LLC [2]

Funds Privacy Notice for California Resident Representatives of Institutional Shareholders[3]

Introduction

The purpose of this Privacy Notice for California Residents ("**California Privacy Notice**") is to provide certain individuals who reside in California and are representatives of our institutional shareholders with information regarding our collection and use of their personal information (as defined below), in accordance with the California Consumer Privacy Act of 2018, as amended (the "**CCPA**"). This California Privacy Notice is intended to apply to personal information we collect through our account applications as well as other sources, as described below. It does not apply to personal information collected through our website, which is described here: https://www.pimco.com/en-us/general/legal-pages/privacy-policy#online-privacy-notice.

The CCPA may not be applicable to every individual receiving this notice. For example, the CCPA contains certain exceptions, including an exception for personal information that is collected, processed, sold or disclosed subject to the federal Gramm Leach Bliley Act ("**GLBA**") and implementing regulations, and so the privacy rights set out herein may not apply to you or to all of your personal information.

Personal Information

By "**personal information**" we mean information that identifies, relates to, describes, is reasonably capable of being associated with, or could reasonably be linked, directly or indirectly, with a particular individual natural person who is a California resident, which includes "personal information" and "sensitive personal information" as such terms are defined in the CCPA. For institutional shareholder, this includes information of individuals connected with you as a shareholder, who act on your behalf, or represent you as an authorized person (for example, individual directors, shareholders, beneficial owners, authorized signatories, trustees, employees, representatives, consultants, intermediaries or agents).

Personal Information We May Collect From or About You

(i) Identifiers such as your name, residential and/or business address, mailing address, email address, business contact information, driver's license, tax identification number, social security (or national insurance or similar) number, passport number and other government identification information and/or numbers.

(ii) Categories of personal information listed in the California Customer Records statute (Cal. Civ. Code 1798.80(e)) that may include social security number, physical characteristics or description, address, telephone number, [passport number, driver's license or state identification card number.

(iii) Commercial information, including records of products or services purchased, obtained or considered, or other purchasing or consuming histories or tendencies.

(iv) Geolocation data, such as your residential and/or business address, or mailing address.

(v) Professional or employment-related information, such as your job title or employer.

(vi) Inferences drawn from this information.

(vii) Sensitive personal information, such as your social security, driver's license, state identification card, or passport number.

"Publicly available information", lawfully obtained, truthful information that is a matter of public concern, "de-identified" data and "aggregate" California resident information (as those terms are used in the CCPA) are not personal information for purposes of the CCPA. This California Privacy Notice does not apply to such information.

Sources From Which We May Obtain Your Personal Information

We collect personal information from the following sources:

(i) Your, your employer's, your designated representative's and/or other authorized person's correspondence, interactions and transactions with us, or our affiliates, delegates or others, including through account applications and other forms, from other written, electronic or verbal correspondence, from shareholder transactions, and/or from a shareholder's brokerage or financial advisory firm, financial professional or consultant.

(ii) Information we receive from our service providers, such as the Funds' investment advisers or sub-advisers ("Advisers"), transfer agent, or other sources we may engage in connection with conducting due diligence, know-your-customer, anti-money laundering and other checks required to be performed in relation to admitting new shareholders.

(iii) Information from government and other public databases.

1 PIMCO Funds, PVIT, PIMCO ETF Trust, PES, PESVIT and PIMCO Managed Accounts Trust are referred to collectively as the "Trusts." The listed entities which are publicly-traded closed-end investment companies are known as the "Closed-End Funds", the listed entities which are closed-end investment companies operating as "interval" funds under Rule 23c-3 under the 1940 Act are known as the "Interval Funds", and the listed entities which are business development companies are known as the "BDCs." The Trusts, the Closed-End Funds, the Interval Funds and the BDCs are referred to collectively as the "Funds."

2 PIMCO Investments LLC ("PI") serves as the Funds' distributor and does not provide brokerage services or any financial advice to investors in the Funds solely because it distributes the Funds. This Privacy Policy applies to the activities of PI to the extent that PI regularly effects or engages in transactions with or for a shareholder of a series of a Trust who is the record owner of such shares. For purposes of this Privacy Policy, references to "the Funds" shall include PI when acting in this capacity.

3 When distributing this Policy, a Fund may combine the distribution with any similar distribution of its investment adviser's privacy policy. The distributed, combined, policy may be written in the first person (i.e. by using "we" instead of "the Funds").

How We May Use Your personal information

We may collect, store and use your personal information for the following purposes:

(i) To deliver the information, products and services you or your employer requested from us.

(ii) To reach out to you about and market or promote new information to you or your employer.

(iii) To communicate with you or your employer.

(iv) To carry out our obligations and enforce our rights and obligations under a contract with you or your employer or to take steps at your request prior to entering into such a contract (e.g., to process an account application, reflect your employer's ownership of shares in the Funds, provide information you have requested, create and administer your employer's account, administer your employer's investments, maintain registers and communicate with you about your employer's investments).

(v) In any other way we describe when you provide the information, or otherwise at your direction or with your or your employer's consent.

(vi) As permitted by law or as we may notify you.

(vii) Where it is necessary for the establishment, exercise or defense of legal claims.

How We May Disclose Your Personal Information

We may disclose personal information to our affiliates and certain unaffiliated entities (including but not limited to your employer) in order to carry out and implement any and all purposes described above, and for the objects of the Funds, including:

(i) To our service providers, affiliates and delegates, including the Advisers and transfer agent, that may act as service providers (the "Service Providers"), which may use personal information, for example, to provide their services to us, prepare and mail prospectuses, reports, account statements and other information, conduct research on shareholder satisfaction and gather shareholder proxies.

(ii) To entities that help us market Fund shares or products which use Fund shares and enter into joint marketing arrangements with them and other companies. These companies may have access to your employer's account information.

(iii) To your employer's brokerage or financial advisory firm and/or financial professional or consultant.

(iv) To cooperate with regulators or law enforcement authorities, including in response to an inquiry or investigation from a regulator such as the California Attorney General or California Privacy Protection agency, to protect the Funds' rights and property, or upon reasonable request by any Fund in which a shareholder has invested.

(v) As authorized by you, your employer or designated representatives of you or your employer or other authorized persons.

(vi) With our affiliates in connection with servicing shareholders' accounts, and subject to applicable law you or your employer may be provided with information about products and services that the Funds or their Advisers, distributors or their affiliates ("**Service Affiliates**") believe may be of interest to such shareholders. The information disclosed may include, for example, a shareholder's participation in the Funds or in other investment programs sponsored by a Service Affiliate, a shareholder's ownership of certain types of accounts, information about the Funds' experiences or transactions with the shareholder, or other data about a shareholder's accounts, subject to applicable law.

(vii) As required by law, regulation, or self-regulatory requirement, including to comply with a subpoena or similar legal process, including when we believe in good faith that disclosure is legally required.

(viii) As necessary for the establishment, exercise or defense of legal claims, or where otherwise necessary to protect our rights and property.

We distribute your personal information to such entities in accordance with applicable law, rule and regulation.

Data Retention

We will retain your personal information for as long as necessary to fulfil the purposes for which it was collected and processed, including for the purposes of satisfying any legal, regulatory, accounting or reporting requirements. To determine the appropriate retention period for your personal information, we will consider the amount, nature and sensitivity of the personal information, the potential risk from unauthorized use or disclosure, the purposes for which we process personal information and whether we can achieve those purposes through other means, and applicable legal requirements.

California Privacy Rights

As a California resident, you may have certain rights in relation to your personal information.

Right to Know

You may have the right to know how we have collected, used and disclosed your personal information over the last twelve months. Specifically, you may have the right to know:

- The categories of personal information we have collected about you.
- The categories of sources from which we have collected your personal information.
- The business or commercial purpose for which we collect, sell or share your personal information.
- The categories of third parties to whom we have disclosed your personal information.
- The categories of third parties to with whom we "share" your personal information for purposes of cross-context behavioral advertising, or to whom we sell your personal information.
- The categories of personal information that we have sold or shared about you and the categories of third parties to whom it was sold or shared
- The categories of personal information we have disclosed about you for a business purpose and the categories of persons to whom we have disclosed it

In addition, you may have the **right to know the specific pieces of personal information** we have collected about you. Subject to certain exceptions and limitations, you may request that we provide this information dating back to January 1, 2022.

Right to Make a Deletion Request

You may have the right to request that we delete your personal information that we have collected or received. Subject to certain exceptions, we must delete your personal information and direct our service provider and contractors to do the same.

Right to Correct your Inaccurate Personal Information

You may have the right to request that we correct any inaccurate personal information about you, taking into account the nature of the personal information and the purposes of the processing of the personal information.

Right to Opt-Out of Sales of your Personal Information

If we were to "sell" your personal information as such term is defined in the CCPA, you would have the right to opt-out of the sale of your personal information. We do not sell your personal information for purposes of the CCPA.

Right to Opt-Out of Sharing of your Personal Information

If we were to "share" your personal information for purposes of "cross-context behavioral advertising" as such terms are defined in the CCPA, you would have the right to opt-out of the sharing of your personal information. We do not share your personal information for cross-context behavioral advertising for purposes of the CCPA.

Right to Limit Use of your Sensitive Personal Information

Subject to certain exceptions, you may have the right to limit our use and disclosure of your Sensitive personal information. We do not use or disclose your sensitive personal information in a manner that gives rise to this right.

Right to Non-Discrimination

You have the right not to be discriminated against by us for choosing to exercise your rights under the CCPA.

Minors Under Age 16

We do not have actual knowledge that we sell the personal information of minors under the age of 16, or share it for cross-context behavioral advertising, for purposes of the CCPA.

How to Exercise Your California Privacy Rights

If you wish to exercise the rights noted above, please submit a request to us by contacting us at AmericasPrivacy@pimco.com or calling us at 866-746-2615.

Information for Authorized Agents

You can authorize a person ("Authorized Agent") registered with the California Secretary of State to exercise your California privacy rights on your behalf. An Authorized Agent can also include a person who has power of attorney or is acting as a conservator. To submit a request to PIMCO on behalf of another person as an Authorized Agent, please submit a request to us by clicking here, calling us at 866-746-2615 or contacting us at AmericasPrivacy@pimco.com.

Verifying Your Requests

We only respond to your requests to know how we have collected, used and disclosed your personal information, to access the specific pieces of personal information we have about you, or to delete and correct inaccurate personal information when those requests are "verifiable."

We may need to ask you for personal information to verify your request so we can match it to the personal information we already have about you. We may also need to obtain additional information about you that we do not already have. If you provide us with new personal information that we do not already have about you, we will use it solely for the purpose of verifying your request. We will let you know via email if we need more information from you to verify your request. Please reply to our requests promptly.

We will communicate with you about your requests via email. We will use the email address you provided when submitting your request.

If we cannot verify your identity, we will let you know.

Responding to Requests

We aim to promptly verify and respond to your requests within 45 days of receipt but may require a total of up to 90 days to respond to your requests. If we require additional time beyond the initial 45 days after we receive your request, we will let you know within the first 45 days.

We do not ordinarily charge a fee for our response to your requests. However, we may do so to the extent your request(s) are excessive, repetitive, or manifestly unfounded. If we determine that charging a fee is warranted, we will let you know and will provide you with an estimate of the associated costs of responding to your request(s).

If we determine that we cannot or will not take the action that you requested, we will let you know. We will inform you of our reasons for not taking action and any rights you may have to appeal the decision.

Unless you tell us that you would like to receive a response via postal mail, we will respond to you via email regarding your requests to know and to access the specific pieces of personal information we have about you. We will contact you at the email address you provided when submitting your request(s). If you would like to receive responses to a request to know or access personal information via postal mail rather than email, please let us know when submitting your request(s).

Limitations

The CCPA does not apply in full to all personal information we collect from California residents. Therefore, even if you are a California resident and submit a verifiable request, we may not be required to comply with your request.

We are only required to respond to certain requests twice in any twelve-month period. We are not required to provide you access to specific pieces of personal information more than twice in any twelve-month period. Similarly, we are not required to comply with your "requests to know" more than twice in any twelve-month period.

Changes to Our California Privacy Notice

From time to time, we may update or revise this California Privacy Notice. If there are changes to the terms of this California Privacy Notice, documents containing the revised policy will be updated.

Contacting Us

If you would like further information on the collection or use of your personal information, please submit questions, comments and requests by contacting us at AmericasPrivacy@pimco.com.

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PIMCO